## IAPT Rec'd PCTIPTO 12 JUN 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

				ATTORNEY'S DOCKET NUMBER			
TRANSMITTAL LETTER TO THE UNITED STATES				095309.57253US			
DESIGNATED/ELECTED OFFICE (DO/EO/US)			(DU/EU/US)	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)			
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371					10/564,400		
INTER	INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING				PRIORITY DATE CLAIMED		
		PCT/EP2004/007843	0//15	3/2004	07/16/2003		
TITLE OF INVENTION  CHANGEOVER VALVE							
APPLICANT(S) FOR DO/EO/US  Michael BARAGA, et al.							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1.		This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.					
2.		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.					
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9)					
4	$\square$	and (21) indicated below.  The US has been elected (Article 31).					
4.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))					
5.	Ш	is attached herete (required only if not communicated by the International Bureau)					
		b. has been communicated by the International Bureau.					
		c. Is not required, as the application was filed in the United States Receiving Office (RO/US).					
6.	П	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
		a. is attached hereto.					
		b. has been previously submitted under 35 U.S.C. 154(d)(4).					
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))					
		a. are attached hereto (required only if not communicated by the International Bureau).					
		b. have been communicated by the International Bureau.					
į		c. have not been made; how	ever, the time limit for m	naking such amendments	s has NOT expired.		
:		d. have not been made and	will not be made.				
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Two (2) pages)					
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
	Item	s 11 to 20 below concern document(	s) or information includ	ded:			
11.	$\boxtimes$	An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form PTO-1449, German Office Action dated October 10, 2005 w/ English Translation (Six (6) pages), German Office Action dated February 11, 2004 w/ English Translation (Six (6) pages), International Preliminary Report on Patentability (Appendix) w/English Translation (Four (4) pages) and Response the Written Office Action dated November 5, 2004 w/ English Translation (Twenty-two (22) pages).					
12.		An assignment document for recording	ig. A separate cover she	et in compliance with 37	CFR 3.28 and 3.31 is included.		
13.		A preliminary amendment.					
14.	$\boxtimes$	An Application Data Sheet under 37 CFR 1.76. (Supplemental) (Four (4) sheets)					
15.		A substitute specification.					
16.		A power of attorney and/or change of address letter.					
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.					
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).					
19.	$\overline{\Box}$	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).					
20		Other items or information: A Copy					

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 02-2005)

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/EP2004/007843 095309.57253US The following fees have been submitted CALCULATIONS PTO USE ONLY 21. Basic national fee (37 C.F.R. §1.492(a)).....\$300.00 \$ Examination fee (37 C.F.R. §1.492(c)) 22. If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) .....\$0.00 \$ All other situations \$200.00 23. Search fee (37 C.F.R. §1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ......\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations ..... TOTAL OF 21, 22 AND 23 = \$ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets** Extra Sheets RATE thereof (round up to a whole number) - 100 \$ x 250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). \$ **CLAIMS NUMBER FILED NUMBER EXTRA** RATE Total claims - 20 50 \$ Independent claims - 3 200 \$ X MULTIPLE DEPENDENT CLAIM(S) (if applicable) + 360 \$ **TOTAL OF ABOVE CALCULATIONS =** \$0.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$0.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). .....+ **TOTAL NATIONAL FEE =** \$0.00 Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property .... **TOTAL FEES ENCLOSED =** \$0.00 Amount to be refunded: Amount to be charged: A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 095309.57253US) in the amount of \$ b. to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 095309.57253US). A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition (of revive 37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Crowell & Moring, LLP Intellectual Property Group SIGNATURE P.O. Box 14300 Gary R/Edwards / Richard R. Diefendorf NAME Washington, D.C. 20044-4300 31,824/ 32,390 Tel. No. (202) 624-2500 REGISTRATION NUMBER Fax No. (202) 628-8844 <u>June 12, 2006</u> GRE/RRD/lck DATE





United States Patent and Trademark Office

57253US

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U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/564,400 Michael Baraga 095309.57253US

INTERNATIONAL APPLICATION NO.

PCT/EP04/07843

I.A. FILING DATE PRIORITY DATE

07/15/2004

07/16/2003

23911 CROWELL & MORING LLP INTELLECTUAL PROPERTY GROUP P.O. BOX 14300 WASHINGTON, DC 20044-4300

CONFIRMATION NO. 1509
371 FORMALITIES LETTER
\*OC000000018508399\*

Date Mailed: 04/12/2006

MISSING REQ 6-12-06

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/13/2006
- English Translation of the IA filed on 01/13/2006
- Copy of the International Search Report filed on 01/13/2006
- Copy of IPE Report filed on 01/13/2006
- Copy of Annexes to the IPER filed on 01/13/2006
- English Translation of Annexes to the IPE filed on 01/13/2006
- Preliminary Amendments filed on 01/13/2006
- Information Disclosure Statements filed on 01/13/2006
- Request for Immediate Examination filed on 01/13/2006
- U.S. Basic National Fees filed on 01/13/2006
- Substitute Specification filed on 01/13/2006
- Priority Documents filed on 01/13/2006

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).



Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

## KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/564,400	PCT/EP04/07843	095309.57253US

FORM PCT/DO/EO/905 (371 Formalities Notice)